

Mary Beth Robinson
NSPII
P.O. Box 88
Delaware, OH 43015



PRESORTED
STANDARD
US POSTAGE PAID
COLUMBUS, OH
Permit No. 5827

2008 Ohio Joint Insurance Fraud Seminar

March 19, 2008



Quest Conference Centers
8405 Pulsar Place
Columbus, OH 43240
800.909.7665

Presented By:



Purpose

The Ohio Chapter of the National Society of Professional Insurance Investigators (NSPII), the Ohio Chapter of the International Association of Special Investigation Units (IASIU), the National Insurance Crime Bureau (NICB), and the State of Ohio Fraud Division join forces again to present this year's **2008 Ohio Joint Insurance Fraud Seminar**.

You will get to choose between different topic sessions. **NO topics will repeat this year.** Please pay attention to the program schedule and registration when signing up for your classes.

History of Organizations

The **Ohio Chapter of NSPII** was formed in 1997. It is comprised of independent adjusters, investigators, attorneys, and insurance industry personnel dedicated to the further refinement of investigative ideas, procedures, and techniques. The Ohio Chapter sponsors an annual seminar and quarterly dinner meetings to inform and encourage individuals who wish to continue striving toward the highest degree of professionalism in the area of insurance fraud recognition, prevention, and investigation.

The **Ohio Chapter of IASIU** was founded in 1984 by a group of insurance industry fraud investigators. The International Association of Special Investigation Units (IASIU) is a non-profit organization dedicated to (1) promoting a coordinated effort within the industry to combat insurance fraud; (2) providing education and training for insurance investigators; (3) developing a greater awareness of the insurance fraud problem; (4) encouraging high professional standards of conduct among insurance investigators; and (5) supporting legislation that acts as a deterrent to the crime of insurance fraud.

With a nearly 100-year heritage, **NICB** is the nation's premier not-for-profit organization dedicated exclusively to fighting insurance fraud and vehicle theft. NICB was formed in 1992 from a merger between the National Automobile Theft Bureau (NATB) and the Insurance Crime Prevention Institute (ICPI). Since their founding, NICB has evolved dramatically into a results-driven 21st century organization. Today, their membership includes more than 1,000 commercial and personal line property/casualty insurers, workers' compensation insurers, self-insured organizations, car rental companies, parking garages and public transit authorities. Beyond their membership, their 300+ employees work with law enforcement agencies, technology experts, government officials, prosecutors, international crime-fighting organizations and the public to meet their mission and deliver customer value.

The **State of Ohio Fraud Division** was established in 1986 with statutory authority to investigate suspected fraudulent claims through Title 39 O.R.C. 3901.04. The Fraud Division is a criminal justice agency with subpoena power, the ability to conduct search warrants, to work with all levels of law enforcement and the ability to submit criminal investigative packages to local and federal prosecutors for criminal prosecution. Currently, the Fraud Division is comprised of a Chief, two Supervisors, six Property and Casualty Investigators and three Medical Investigators. They handle nearly 1,500 criminal referrals a year and open approximately 100 + criminal investigations yearly. The Fraud Division also has an extremely high rate of successful criminal prosecutions. The types of cases that are investigated include staged accident rings, arson for hire cases and bogus billings by health care providers.

Continuing Education

The following accreditations have been applied for: **CFE, CFI, CLE in Ohio, CPCU, IAAI, and RPA**. The **Certified Insurance Fraud Investigator (CIFI)** Testing will be offered again prior to the start of the Seminar. For more details, please go to the Seminar Program page.

Registration Form (NO TOPICS REPEAT)

For each Session, please indicate on the line next to the topic number your 1st and 2nd choices for each session by placing a 1 or 2 on the line.

(8:15-10:00 a.m.)

Morning 1st Session: Topic #1: _____ Topic #2: _____ Topic #3: _____ Topic #4: _____

(10:15 a.m. – 12:00 noon)

Morning – 2nd Session Topic #5: _____ Topic #6: _____ Topic #7: _____ Topic #8: _____

(1:15 p.m. – 3:00 p.m.)

Afternoon – 1st Session Topic #9: Yes _____ No _____ **(Only topic offered)**

(3:15 p.m. – 5:00 p.m.)

Afternoon – 2nd Session Topic #10: _____ Topic #11: _____ Topic #12: _____ Topic #13: _____

Name (Please Print or Type)

E-Mail Address

Company

Address

City

State

Zip

Telephone

Member of:

_____ NSPII _____ IASIU _____ NICB _____ State of OH Fraud Division _____ Non-Member

Enclosed is my check for:

_____ \$100.00 – Member of NSPII, IASIU, NICB, State of OH Fraud Division or Law Enforcement

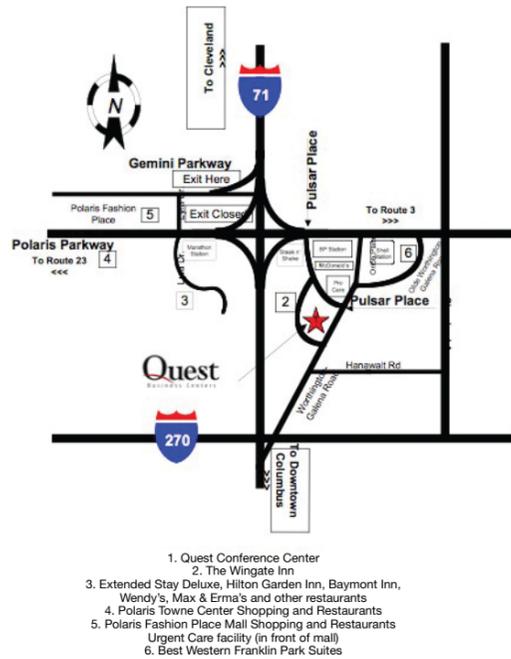
_____ \$120.00 – Non-Member

Make Seminar registration checks payable to NSPII. Mail check and Registration Form to: **Mary Beth Robinson, NSPII, P.O. Box 88, Delaware, OH 43015**. If paying by credit card, fill out the table below and fax it to the attention of **Mary Beth Robinson, NSPII, at (740) 369-7155**.

Cardholder Name			
Account Number	Expiration Date /	Card Type: Visa / MC (circle)	
Billing Address	City	State	Zip
CVV/CVC (3 digit number on back of card)	Signature		

REGISTRATION DEADLINE IS FEBRUARY 18, 2008. NO REFUNDS ISSUED AFTER FEBRUARY 18, 2008. THIS SEMINAR IS BY INVITATION ONLY. THE SEMINAR COMMITTEE EXPRESSLY RESERVES THE RIGHT TO REFUSE ANY REGISTRATION REQUEST. Inquiries: Mary Beth Robinson (1-888-677-4498).

Map & Directions



Directions to Quest Conference Centers

From Port Columbus Airport (East)

Quest Conference Center is located 14 miles north of downtown Columbus. Follow Interstate 71 North to Interstate 71 North. The first exit on I-71 is Exit 121, Polaris Parkway. Turn right onto Polaris Parkway. On your right hand side you will see a sign that reads, "The Offices at Polaris". This is Pulsar Place. Turn right onto Pulsar Place.

From Downtown Columbus, Ohio (South)

Quest Conference Center is located 14 miles from downtown Columbus, Ohio. Follow Interstate 71 North to Exit 121, is Polaris Parkway. Turn right onto Polaris Parkway. On your right hand side you will see a sign that reads, "The Offices at Polaris". This is Pulsar Place. Turn right onto Pulsar Place.

From Cleveland, Ohio (North)

Follow Interstate 71 South to Gemini Parkway exit. Turn right onto Gemini Parkway. Turn left onto Lyra Drive (1st left). Turn left onto Polaris Parkway and follow it about 1/4 miles (over the I-71) overpass. On the east side of the overpass you will see a sign that reads, "The Offices at Polaris". This is Pulsar Place. Turn right onto Pulsar Place.

From Dayton, OH (West)

Follow Interstate 70 East to Interstate 270 North/Cleveland (This is a loop around Columbus). Exit onto the 270 loop and follow this to Interstate 71 North. Exit onto I-71 North. The first exit on I-71 is Exit 121, Polaris Parkway. Turn right onto Polaris Parkway. On your right hand side you will see a sign that reads, "The Offices at Polaris". This is Pulsar Place. Turn right onto Pulsar Place.

From Toledo, OH (Northwest)

Follow Interstate 75 South to Route 15 East to Route 23 South. Turn left onto Powell Road. Follow Powell Road as it becomes Polaris Parkway. Continue on Polaris Parkway past the Polaris Fashion Place and over Interstate 71. Turn right on Pulsar Place (between Steak and Shake and BP Station) and follow around to Pulsar Place.

Alternate Routes: During times of heavy traffic or construction the following are suggested alternatives:

From I-270 East Bound: Take Rt. 23 N. (High St North/Delaware Exit) to the first light, which is Campus View Blvd. Turn right onto Campus View and continue on until you come to Worthington Galena Road. Turn left onto Worthington Galena and follow that road through two stoplights (you will pass Park Rd., Grace Brethren Church (on the left) and then you will go underneath I-71). After you pass the second stop light you will see a sign on your left that says The Offices At Polaris. This is Pulsar Place. Turn left onto Pulsar Place and 8405 is the first building on your left.

*If traffic on 23 N. Delaware is congested, you may continue on I-270 past I-71 and take the Cleveland Avenue exit and go north. Follow the directions below....starting with the dead-end.

From I-270 West Bound: Exit at Cleveland Avenue and turn right. Continue on Cleveland Avenue until it dead-ends. This is Polaris Pkwy. Turn left onto Polaris Pkwy. to Orion. (There is a Shell and a BP Gas Station). Turn left onto Orion. Just past the McDonald's on your right hand side you will see a sign that says The Offices at Polaris. This is Pulsar Place. Turn right onto Pulsar Place and 8405 is the first building on the left.

Hotels

Wingate Inn

8505 Pulsar Place
(within walking distance)
(614) 844-5888
Rate: \$83.00 plus tax (Group NSPII)

Extended Stay Deluxe

8555 Lyra Drive
1 mile west of Quest
(614) 431-5522
Rate: \$80.00 plus tax (Group NSPII)

Candlewood Suites

8515 Lyra Drive
(614) 436-6600
Rate: \$92.00 plus tax (Group NSPII)



Seminar Program

7:00 a.m.-8:00 a.m.: Breakfast and Registration

7:45 a.m. – 8:10 a.m.: Welcome

8:15 a.m. – 10:00 a.m.: Topics 1, 2, 3, 4

10:00 a.m. – 10:15 a.m.: *Break*

10:15 a.m. -12:00 noon: Topics 5, 6, 7, 8

12:00 p.m. – 1:00 p.m.: *Lunch*

1:15 p.m. – 3:00 p.m.: Topic 9
(only topic offered during this time slot)

3:00 p.m. – 3:15 p.m.: *Break*

3:15 p.m. – 5:00 p.m.: Topics 10, 11, 12, 13

CIFI Testing

Registration is at 5:45 a.m.

Testing begins promptly at 6:00 a.m. Test should take no more than 2 hours.

Location will be at the Quest Conference Centers. When you arrive, there will be a sign directing you to the proper room.

Cost is \$200.00 for IASIU Members and \$400.00 for Non-Members

APPLICANTS MUST APPLY. Deadline to apply for March 19th test is **January 31, 2008**. Application can be located at www.iasiu.org. You may apply online or download the application and mail it to:

Dawn R. Lipsey, Executive Director
IASIU
8015 Corporate Drive
Suite A
Baltimore, MD 21236

If you have any questions, please call Dawn Lipsey at (410) 931-3332.

Topic #1: Heavy Equipment Theft, a Billion Dollar Problem
Time: 8:15 a.m. - 10:00 a.m.

This presentation involves many aspects of the quickest-growing, most misunderstood problem in the United States today – Heavy Equipment theft. The presentation will cover who, what, when, where and why such heavy equipment is stolen and how a new initiative of the National Insurance Crime Bureau is addressing the problem, helping both law enforcement and the insurance industry.



Mr. Jim Cadigan
National Insurance Crime Bureau
Fairfax, VA



Topic #2: Prosecuting Health Care Fraud
Time: 8:15 a.m. - 10:00 a.m.

This workshop will provide an introduction to Ohio Attorney General Marc Dann's Health Care Fraud Section, and the two units which comprise same: the Medicaid Fraud Control Unit and the Workers' Compensation Fraud Unit. The workshop will address the structure and organization of the Section, each Unit's statutory authority and jurisdiction, and the Section's unique "Strike Force" concept of white collar crime investigation and prosecution. Additionally, the workshop will address emerging trends in health care fraud, as illustrated by a series of specific case studies; joint State-federal investigations/prosecutions; case analysis; investigative techniques; and data mining.



Mr. Lloyd Early
Special Agent-in-Charge
Health Care Fraud Section
Ohio Attorney General's Office
Columbus, OH



Ms. Keesha Mitchell
Assistant Section Chief
Health Care Fraud Section
Ohio Attorney General's Office
Columbus, OH

Topic #3: Conducting Slip/Fall Investigation with Speed, Agility and Never Give Up Attitude
Time: 8:15 a.m. - 10:00 a.m.

Interviewing guidelines will be discussed along with investigative considerations, fraud indications, and photographic evidence.



Mr. John Norris
Cincinnati Insurance Co.
Cortland, OH



Ms. Barbara Parker
Indiana Insurance Co.
Delaware, OH

Topic #12: Federal Prosecution of White Collar Crime
Time: 3:15 p.m. - 5:00 p.m.

This presentation will cover the federal statutes related to insurance and health care fraud, the monetary thresholds for federal prosecution, application of the federal sentencing guidelines to insurance and health care fraud cases, the roles of various federal agencies in insurance and health care fraud investigations, examples of typical cases handled at the federal level and differences between state and federal prosecutions.



Robert Patton, Esq.
Assistant U.S. Attorney in the Economic Crimes Unit
Cleveland, OH



Topic #13: Mortgage Fraud in Central Ohio - Investigative and Prosecutorial Perspectives
Time: 3:15 p.m. - 5:00 p.m.

This session will provide an overview of the mortgage fraud problem in central Ohio and will highlight local efforts to combat the problem. Fraud schemes and case examples will be outlined, and evidentiary and prosecutorial issues will be explored. Presenters are members of the Central Ohio Mortgage Fraud Task Force. They will provide grassroots insight into the investigation and prosecution of mortgage fraud crimes.

Ms. Linda S. Lawler
Ohio Organized Crime Investigations Commission
Grove City, OH

Scott A. Smith, Esq.
Assistant Prosecutor, Economic Crime Unit
Columbus, OH



**Topic #10: ATF and Arson Investigations - What You Need To Know.
A Case Study from A-Z
Time: 3:15 p.m. - 5:00 p.m.**

This presentation will provide the attendee with a brief summary as to how and when the ATF will get involved in an arson investigation and the assets ATF can provide to help bring about a successful conclusion to arson investigations. There will be a case study outlining a successful investigation that involved local and state authorities in addition to an insurance company.



**Mr. Lance L. Kimmell, Resident Agent in Charge
Bureau of Alcohol, Tobacco, Firearms and Explosives
Cleveland Group 1 Field Office
Cleveland, OH**



**Topic #11: Case Study Working From Insurance to State Federal Level
Time: 3:15 p.m. - 5:00 p.m.**

This topic will use as a basis an insurance case that was started at a local level and worked its way all the way to a Federal level. The topic will explore the means by which a case can be presented and the parameters that it needs to be accepted. Discussions will involve actual case material as well as generic points that an investigator will need as they complete their investigation and proceed to prosecution.



**Ms. Lisa M. Fitzpatrick
U.S. Postal Inspector
Cincinnati Field Office
Cincinnati, OH**



**Mr. Thomas J. Hollis, Investigator
Ohio State Chiropractic Board
Columbus, OH**



**Mr. Craig M. Morgan
The Morgan Group
Pickerington, OH**

**Topic #4: News You Can Use: A Legal Update for Insurance Investigators and Other
Insurance Professionals
Time: 8:15 a.m. - 10:00 a.m.**

An overview of recent legal developments with respect to property and health care fraud, as well as a review of important legal issues for fraud investigators, including a look at recent trends in fraud investigations.



**Jennifer Nordstrom, Esq.
Freund, Freeze & Arnold
Cincinnati, OH**



**Thomas E. Switzer, Esq., Partner
Lane, Alton & Horst LLC
Columbus, OH**



**Mr. John Woolwine
State Medical Board
Columbus, OH**

**Topic #5: Courtroom Testimony Training
Time: 10:15 a.m. - Noon**

Giving of deposition or courtroom testimony oftentimes can be frightening. In this program, we will explore fully how to prepare and give effective deposition and courtroom testimony. Issues such as preparation, rehearsal and courtroom demeanor will all be discussed. The goal of this program is to make certain whether you are a public or private sector witness, you are fully and completely prepared to give effective deposition and trial testimony.



**Matthew J. Smith, Esq.
Smith, Rolfes & Skavdahl Co., L.P.A.
Cincinnati, OH**



Topic #6: Insurance Agent/Broker Investigations - Special Considerations

Time: 10:15 a.m. - Noon

This topic will cover the following:

1. What investigators should look for when an agent/broker is suspected of being involved in a potentially fraudulent incident?
2. What are the special considerations investigators should be aware of when conducting an investigation involving agents or brokers?
3. A look at some agent investigations.



**Mr. Tom McDaniel, Director
AFG Investigative Services,
American Financial Group
Cincinnati, OH**



**Mr. Phil Van Houten
Cincinnati Insurance Co.
Cincinnati, OH**

Topic #7: Using Public and Open Source Data in SIU Investigations

Time: 10:15 a.m. - Noon

This course will cover the use of open and public source data in SIU investigations. The topic will be presented with a case study to show where information can be obtained and how to best use different information sources during the course of an investigation. The course instructors will provide handouts to participants detailing where to find information, what types of information are available, and address any restrictions to using open or public source information. Examples of information that will be addressed during the course include:

- Federal and State court records
- Real Estate records
- Professional Licensing information
- Banking information
- Geographic Information Systems (GIS)
- Vehicle Registration and Titling information
- Corporate Records
- And many more!



**Mr. Eric Seebach
National Insurance Crime Bureau
Dublin, OH**



**Mr. Dennis Toomey
LexisNexis Risk & Information
Analytics Group, Inc.
Hampton, NH**

Topic #8: A Review of RICO Laws in Ohio

Time: 10:15 a.m. - Noon

This topic provides a general review of Ohio's RICO statutes and case law. It also includes a discussion of how to investigate and prosecute insurance fraud cases as violations of the RICO statute. There will be a discussion of actual insurance fraud cases investigated and prosecuted by the Ohio Department of Insurance under Ohio's RICO statute.



**Robert F. Smith, Esq.
Ohio Department of Insurance
Columbus, OH**



Lunch: Noon - 1:00 p.m.

Topic #9: Public/Private Sector - Undercover Insurance Fraud Investigations.

"Paper Accidents" - A Case Study

Time: 1:15 p.m. - 3:00 p.m. (Only Topic Offered During this Time)

This presentation will address factors that must be considered in deciding whether or not a joint public/private sector insurance fraud undercover operation (UCO) is an appropriate investigative technique, and whether or not it is an effective and efficient tool for achieving the mutually defined goals and objectives of the participating organizations. It will address many considerations that go into deciding whether or not to employ a UCO including: the crime problem, criminal subjects, investigative issues, prosecutive issues, goals/objectives of both the public and private sectors, and other matters such as legal, financial, liaison and political considerations. After a general discussion of the above, the instructors will present a case study of a law enforcement/private sector UCO entitled, "Paper Accidents," which was investigated in Los Angeles and addressed insurance frauds resulting from staged vehicle accidents.



**Mr. Joaquin Basauri
Integrated Fraud Solutions, Inc.
Beaverton, OR**



**Mr. James M. Sheehan
Investigations and Security Consulting
Playa del Rey, CA**