



**Surplus Note Interest - ORC Section 3901.72**

**Company Name:** \_\_\_\_\_ **NAIC #:** \_\_\_\_\_

INITIAL      DATE

**Analyst:** \_\_\_\_\_

**Supervisor:** \_\_\_\_\_

**Information Data for Calculation of Surplus Note Interest:**

	Date	Amount
Total Surplus Notes:		_____
Principle Payment on Surplus Note:		_____
Surplus Notes after Principle Payment		_____
Total Interest Payment on Surplus Note Requested		_____
Interest Rate XX.XX%		_____
Period XX/360		/360
Calculated Interest		_____
Surplus Most Current Financial Statement	_____	
Surplus After Payment of Interest and Principal		_____
Liabilities Most Current financial Statement	_____	
Net Income Most Current Financial Statement	_____	
NPW Most Current Financial Statement	_____	

**AM Best Rating:**

**Risk Based Capital**

**RBC adj. for interest pymt**

**Annualization Factors**

12/31/XX = 1  
 03/31/XX = 4  
 06/30/XX = 2  
 09/30/XX = 1.3334

**Ratios After Surplus Notes Payment**

Does Surplus Equal or Exceed Surplus Notes after Current Interest & Principle Payment is Deducted from Surplus:  Yes  No

**Total Liabilities/Surplus**

Other Considerations (if applicable):

**NPW Annualized**

**NPW/Surplus**

**Dividend/Surplus**

**Interest & Note / Surplus**  
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