REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Closed panel plans only through Title 17, Health Insuring Corporation	ORC 1751.02 (F)	☐YES ☐NO ☐N/A	
Prohibition against unfair or deceptive acts	ORC 3901.20	☐YES ☐NO ☐N/A	
Unfair and deceptive acts or practices in business of insurance defined	ORC 3901.21	☐YES ☐NO ☐N/A	
Third-party payers processing claims for payment for health care services	ORC 3901.381	☐YES ☐NO ☐N/A	
Electronic submission of claims	ORC 3901.382	☐YES ☐NO ☐N/A	
Untimely claim process	ORC 3901.384	☐YES ☐NO ☐N/A	
Prohibited practices by third party payers	ORC 3901.385	☐YES ☐NO ☐N/A	
Reimbursement contract - reimbursements to be made directly to hospital - assignment of benefits	ORC 3901.386	☐YES ☐NO ☐N/A	
Payments considered final – overpayment	ORC 3901.388	☐YES ☐NO ☐N/A	

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Computation of interest	ORC 3901.389	☐YES ☐NO ☐N/A	
Effect of sexual orientation, HIV, or AIDS or related condition	ORC 3901.45 (D)(E)(F)(G)	☐YES ☐NO ☐N/A	
Genetic screening or testing	ORC 3901.491	☐YES ☐NO ☐N/A	
Policies to which section apply – exceptions	ORC 3902.03 (A)	☐YES ☐NO ☐N/A	
Coordination of benefits - Status of health coverage	ORC 3902.12	☐YES ☐NO ☐N/A	
Coordination of benefits - Determination of order; secondary plan as excess; excess or duplicate payments	ORC 3902.13	☐YES ☐NO ☐N/A	
Insurance information practices – applicability of chapter	ORC 3904.02	☐YES ☐NO ☐N/A	
Insurance information practices - notice to applicants and policyholders	ORC 3904.04	☐YES ☐NO ☐N/A	

SMALL GROUP POLICY/CERTIFICATE Filing Checklist – COMPLIANCE CONFIRMATION
TOIs: H16G Group Health – Major Medical
Sub TOIs: ☐.003A PPO ☐.003D POS ☐.003G Other
Form # Form Name

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Copies of application to accompany policies issued – <u>Life insurance companies</u>	ORC 3911.04	☐YES ☐NO ☐N/A	
Policy of sickness and accident insurance defined	ORC 3923.01	☐YES ☐NO ☐N/A	
Sickness and accident insurance definitions	ORC 3923.011	☐YES ☐NO ☐N/A	
Validity of nonconforming policy	ORC 3923.09	☐YES ☐NO ☐N/A	
Group sickness and accident insurance – inspection requirements	ORC 3923.12 (D)	☐YES ☐NO ☐N/A	
Option for conversion from group policy to individual policy – additional Requirements	ORC 3923.122 (E)(F)(G)(I)(J)(K)(L)(M)(N)		Suspended effective 1/1/2014 through 1/1/2018 (Ohio Senate Bill 9, 130TH GA)
False statement in application - alteration of written application	ORC 3923.14	☐YES ☐NO ☐N/A	
Unfair discrimination prohibited	ORC 3923.15	☐YES ☐NO ☐N/A	

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Prohibition of rebates not to prohibit commissions or dividends	ORC 3923.17	☐YES ☐NO ☐N/A	
Hospitalization coverage for mental illness	ORC 3923.27	☐YES ☐NO ☐N/A	
Prohibiting exclusion or reduction of benefits because benefits payable under supplemental policy	ORC 3923.37	☐YES ☐NO ☐N/A	
Continuing policy upon termination of employment – additional requirements	ORC 3923.38 (D)(E)	☐YES ☐NO ☐N/A	
Continuing coverage under group policy when reservist is called or ordered to active duty – additional requirements	ORC 3923.381 (H)(I)	☐YES ☐NO ☐N/A	
Prescription drug limitations or exclusions	ORC 3923.60 (D)	☐YES ☐NO ☐N/A	

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Disclosing determination of usual and customary fee for dental benefits	ORC 3923.62	☐YES ☐NO ☐N/A	
Coverage of inpatient care and follow-up for mother and her newborn	ORC 3923.63 (C)(D)	☐YES ☐NO ☐N/A	
Coverage for alcohol or drug related losses or expenses	ORC 3923.82	☐YES ☐NO ☐N/A	
Health care benefit plans covered by chapter – eligibility	ORC 3924.02 (A)	☐YES ☐NO ☐N/A	
Health care benefit plans covered by chapter – applicable law	ORC 3924.02 (C)(D)	☐YES ☐NO ☐N/A	
Health care benefit plans covering small employers subject to conditions – exclusion & rider	ORC 3924.03 (C)(D)	☐YES ☐NO ☐N/A	

SMALL GROUP POLICY/CERTIFICATE Filing Checklist – COMPLIANCE CONFIRMATION
TOIs: H16G Group Health – Major Medical
Sub TOIs: ☐.003A PPO ☐.003D POS ☐.003G Other
Form # Form Name

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Health care benefit plans covering small employers subject to conditions – benefit structure, information collected, definition of bona fide association	ORC 3924.03 (F)(G)	☐YES ☐NO ☐N/A	
Carriers offering health benefit plan in small employer market through network plan	ORC 3924.031	☐YES ☐NO ☐N/A	
Refusing to issue plans in small employer market	ORC 3924.032	☐YES ☐NO ☐N/A	
Information disclosed by carrier to the employer	ORC 3924.033	☐YES ☐NO ☐N/A	
Prohibiting exclusion based on health condition	ORC 3924.25	☐YES ☐NO ☐N/A	
Prohibiting consideration of eligibility for medical assistance	ORC 3924.41	☐YES ☐NO ☐N/A	
Prohibiting imposing different requirements on department of Medicaid	ORC 3924.42	☐YES ☐NO ☐N/A	

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Prohibiting denial of enrollment of certain children	ORC 3924.46	☐YES ☐NO ☐N/A	
Duties of health insurer of noncustodial parent	ORC 3924.47	☐YES ☐NO ☐N/A	
Parent required by court or administrative order to provide health care coverage for child – duties of health insurer	ORC 3924.48	☐YES ☐NO ☐N/A	
Life and Health Insurance Guaranty Association – prohibitions and notice	ORC 3956.18 (A)(D)	☐YES ☐NO ☐N/A	
Unfair trade practices	OAC 3901-1-07	☐YES ☐NO ☐N/A	
Life and Health Insurance Guaranty Association - disclaimer and not covered form	OAC 3901-1-52 (C)(D)(E)	☐YES ☐NO ☐N/A	
Coordination of benefits – Purpose	OAC 3901-8-01 (B)	☐YES ☐NO ☐N/A	
Coordination of benefits - Prohibited coordination and benefit design	OAC 3901-8-01 (E)	☐YES ☐NO ☐N/A	

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Coordination of benefits – Requirements	OAC 3901-8-01 (F)	☐YES ☐NO ☐N/A	
Coordination of benefits – Order of benefit determination	OAC 3901-8-01 (G)	☐YES ☐NO ☐N/A	
Coordination of benefits – Procedure for secondary and miscellaneous provisions	OAC 3901-8-01 (H)(I)	☐YES ☐NO ☐N/A	
Unfair health claim practices	OAC 3901-8-11 (A)(B)(C)(D)(E)(F)(G)	☐YES ☐NO ☐N/A	
Notice of older age child to age twenty-eight coverage extension	OAC 3901-8-13	☐YES ☐NO ☐N/A	