

HIC SOLICITATION Filing Checklist – COMPLIANCE CONFIRMATION

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Institutional Advertisement Invitation to Inquire Invitation to Contract Lead-generating Device

PLEASE CHECK ALL THAT APPLY

Form # Form Name

REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Unfair and deceptive acts - use of name	ORC 1751.20 (A)(B)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Unfair and deceptive acts – content standards	ORC 1751.20 (D)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Unfair and deceptive acts – not applicable to Medicare, Medicaid or federal employees	ORC 1751.20 (F)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Solicitation Document – delivery requirements	ORC 1751.31 (C)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Solicitation Document – prohibited practices	ORC 1751.31 (E)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Solicitation Document – healthy lifestyle program exception	ORC 1751.31 (G)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Information to be provided to subscribers	ORC 1751.33	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Confidentiality of information	ORC 1751.52 (A)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Misleading or deceptive advertising prohibited	ORC 3923.16	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	

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Method of disclosure of required information	OAC 3901-8-07 (D)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Form and content of advertisements	OAC 3901-8-07 (E) 1, 2, 3, 4, 5 and 7	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Advertisement of benefits payable, losses covered or premiums payable	OAC 3901-8-07 (F) 1 a, b, c, d, e and i	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Exceptions, reductions and limitations	OAC 3901-8-07 (F)(2)(c)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Testimonials or endorsements	OAC 3901-8-07 (I)(1),(4) & (5)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Use of statistics	OAC 3901-8-07 (J)(2)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Disparaging comparisons and statements	OAC 3901-8-07 (L)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Jurisdictional licensing and status of insurer	OAC 3901-8-07 (M)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Word, symbol and name restrictions	OAC 3901-8-07 (N)(2) & (3)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Group or quasi-group implications	OAC 3901-8-07 (O)(1)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	
Introductory, initial or special offers	OAC 3901-8-07 (P)(1)(a)(c)(d) and (2) & (3)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	

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REVIEW REQUIREMENT	AUTHORITY	CONFIRM COMPLIANCE	COMMENTS
Statements about an insurer	OAC 3901-8-07 (Q)	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A	