

Ohio Department of Insurance

John R. Kasich – Governor
 Mary Taylor – Lt. Governor/Director



Business Entity Surety Bail Bond License Renewal/Continuation

(Please Print or Type)

Check appropriate box for license requested:

- Resident License
- Non-Resident License
 - Identify Home State: _____
 - Identify Home State License #: _____

Demographic Information

① Business Entity's Name		② FEIN		
③ Home State & Home State License Number		④ If assigned, National Producer Number (NPN)		
⑤ Is the business entity affiliated with a financial institution/bank? <input type="checkbox"/> Yes <input type="checkbox"/> No				
⑥ Business Address		⑦ City	⑧ State	⑨ Zip or Foreign Country
⑩ Phone Number (include extension) ()	⑪ Fax Number ()	⑫ Business E-Mail Address		⑬ Business Web Site Address
⑭ Mailing Address		⑮ P.O. Box	⑯ City	⑰ State
				⑱ Zip or Foreign Country

Designated/Responsible Licensed Producer

⑲ Identify at least one Designated/Responsible Licensed Producer responsible for the business entity's compliance with the insurance laws, rules, and regulations of this state:

Name _____	SSN _____	NPN _____
Name _____	SSN _____	NPN _____
Name _____	SSN _____	NPN _____
Name _____	SSN _____	NPN _____

Background Information

⑳

1. Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, been convicted of, or is currently charged with, committing a crime or had a judgment withheld or deferred which has not been previously reported to this insurance department? Yes No

Note: "Crime" includes a **misdemeanor**, a **felony** or a **military offense**. You may exclude misdemeanor traffic citations and misdemeanor convictions or pending misdemeanor charges involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses. **"Convicted"** includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere or no contest, or having been given probation, a suspended sentence, or a fine.

If Yes, you must attach to this application:

- a) a written statement identifying all parties involved (including their percentage of ownership, if any) and explaining the circumstances of each incident,
- b) a copy of the charging document, and
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

Background Information (Continued)

2. Has the business entity or any owner, partner, officer or director, or manager or member of a limited liability company, been named or involved as a party in an administrative proceeding, including FINRA sanction or arbitration proceeding regarding any professional or occupational license or registration, which has not been previously reported to this insurance department? Yes No

“Involved” means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, placed on probation, sanctioned or surrendering a license to resolve an administrative action. “Involved” also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. “Involved” also means having a license application denied or the act of withdrawing an application to avoid a denial. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If Yes, you must attach to this application:

- a) a written statement identifying the type of license, all parties involved (including their percentage of ownership, if any) and explaining the circumstances of each incident,
- b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) a copy of the official document which demonstrates the resolution of the charges or any final judgment.

3. In response to a “yes” answer to one or more of the Background Questions for this renewal application, are you submitting document(s) to the NAIC/NIPR Attachments Warehouse? N/A Yes No

If Yes, will you be associating (linking) previously filed documents from the NAIC/NIPR Attachments Warehouse to this application? N/A Yes No

Note: If you have previously submitted documents to the Attachments Warehouse that are intended to be filed with this renewal application, you **must** go to the Attachments Warehouse and associate (link) the supporting document(s) to this application based upon the particular background question number you have answered yes to on this application. You will receive information in a follow-up page at the end of the application process, providing a link to the Attachment Warehouse instructions.

4. Are any of the owners, partners, officers, directors or employees: Yes No
- a) A jailor or other person employed in a detention facility, as defined in section 2921.01 of the Revised Code;
 - b) A prisoner incarcerated in any jail, prison, or any other place used for the incarceration of persons;
 - c) A peace officer, as defined in section 2921.51 of the Revised Code, including volunteer or honorary peace officers, or other employees of a law enforcement agency;
 - d) A committing magistrate, judge, employee of a court, or employee of the clerk of court;
 - e) An attorney or any person employed at an attorney’s office;
 - f) Any other person having the power to arrest, or a person who has authority or control of, federal, state, county, or municipal corporation prisoners.

5. Do you understand that any Ohio licensed individual initially licensed as a surety bail bond agent may not execute or deliver a bond during the first 180 days after licensure? Yes No

6. Do you understand that any individual licensed as an Ohio surety bail bond agent are required to complete seven continuing education credits annually before the license may be renewed and that the CE credits must be earned with six credits specifically approved under Surety Bail Bond category and one credit specifically approved under Ethics category? Yes No

Applicant’s Initials _____

