



Department of Insurance

Mike DeWine, Governor
Jon Husted, Lt Governor

Judith L. French, Director

Audited Financial Statement Exemption Affidavit*

Office of Risk Assessment, 50 W Town Street, 3rd Floor - Suite 300, Columbus OH 43215
614-644-2658 | 614-644-3256 (Fax) | insurance.ohio.gov

PER NAIC ANNUAL STATEMENT INSTRUCTIONS. FILING DEADLINE IS JUNE 1.

I, THE UNDERSIGNED, HEREBY CERTIFY THAT

(Name of Company)

(Mailing Address)

(City, State, Zip)

(NAIC No.)

(Federal ID No.)

IS EXEMPT FROM FILING A _____ AUDITED FINANCIAL STATEMENT AS PERMITTED BY THE CURRENT
(year)**
NAIC ANNUAL STATEMENT INSTRUCTIONS.

I FURTHER CERTIFY THE AMOUNT OF DIRECT PREMIUMS AND FUND DEPOSITS WRITTEN DURING CALENDAR
YEAR _____ WAS _____, THE NUMBER OF POLICYHOLDERS AND INSURANCE
CERTIFICATE HOLDERS AT THE END OF _____ WAS _____, AND THE AMOUNT OF
ASSUMED PREMIUMS WRITTEN DURING THE CALENDAR YEAR _____ WAS _____.

Signature of Officer

Officer Title

Date

Notary Public

* TO BE FILED IN LIEU OF THE ANNUAL AUDITED FINANCIAL STATEMENT. THIS EXEMPTION APPLIES TO THE
CURRENT FILING PERIOD ONLY.

** YEAR MUST BE ENTERED.