



Department of Insurance

Mike DeWine, Governor  
Jon Husted, Lt Governor

Tynesia Dorsey, Interim Director

# Audited Financial Statement Exemption Affidavit\*

Office of Risk Assessment, 50 W Town Street, 3rd Floor - Suite 300, Columbus OH 43215  
614-644-2658 | 614-644-3256 (Fax) | insurance.ohio.gov

## PER NAIC ANNUAL STATEMENT INSTRUCTIONS. FILING DEADLINE IS JUNE 1.

I, THE UNDERSIGNED, HEREBY CERTIFY THAT

\_\_\_\_\_  
(Name of Company)

\_\_\_\_\_  
(Mailing Address)

\_\_\_\_\_  
(City, State, Zip)

\_\_\_\_\_  
(NAIC No.)

\_\_\_\_\_  
(Federal ID No.)

IS EXEMPT FROM FILING A \_\_\_\_\_ AUDITED FINANCIAL STATEMENT AS PERMITTED BY THE CURRENT  
(year) \*\*  
NAIC ANNUAL STATEMENT INSTRUCTIONS.

I FURTHER CERTIFY THE AMOUNT OF DIRECT PREMIUMS AND FUND DEPOSITS WRITTEN DURING CALENDAR  
YEAR \_\_\_\_\_ WAS \_\_\_\_\_, THE NUMBER OF POLICYHOLDERS AND INSURANCE  
CERTIFICATE HOLDERS AT THE END OF \_\_\_\_\_ WAS \_\_\_\_\_, AND THE AMOUNT OF  
ASSUMED PREMIUMS WRITTEN DURING THE CALENDAR YEAR \_\_\_\_\_ WAS \_\_\_\_\_.

\_\_\_\_\_  
Signature of Officer

\_\_\_\_\_  
Officer Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Notary Public

\* TO BE FILED IN LIEU OF THE ANNUAL AUDITED FINANCIAL STATEMENT. THIS EXEMPTION APPLIES TO THE CURRENT FILING PERIOD ONLY.

\*\* YEAR MUST BE ENTERED.